KNOW YOUR CUSTOMER FORM- INDIVIDUALS

FORM LAST COMPLETED IN (MM /YR)

IDENTITY DETAILS

Title:

Fore Name(s):

Surname:

Date of Birth: / / National ID / Passport No: Nationality:

ADDRESS AND CONTACT DETAILS

Postal Address:

Physical Address:

Village / Town / City: Country:

Duration of stay If > 2 years give previous Country residence.

Telephone: Mobile: Email Address:

Employer: Occupation:

BANKING DETAILS

Bank Name Branch: Account Number

Account Name Account Type.

Source of Funds

State nature of business if funds are received from sources other than salary:

ANTI – MONEY LAUNDERING AND COUNTER TERRORIST FINANCING REQUIREMENTS

In accordance with the Financial Intelligence Regulations the following documents should be provided for verification:

- **Identification document**: Certified copy of I.D / passport
- **Proof of Address**: Latest of either telephone bill, electricity bill, water bill or lease agreement.
- **Proof of Income:** Letter of employment or recent pay slip
- **Proof of Bank account:** Bank confirmation or bank statement (Not more than 3 months)

PROMINENT INFLUENTIAL PERSON/POLITICALLY EXPOSED PERSON (PIP/PEP) QUESTIONNAIRE

Please fill in the questionnaire appropriately. Please note that all fields are mandatory.

	Yes	No
a) Do you (as the customer) currently hold or previously held any of the below PIP/PEP positions in the past 5 years?	☐	☐
b) Do you have a relative (Spouse, Child, Sibling or Parent) who currently holds or previously held any of the below PIP/PEP positions in the past 5 years?	☐	☐
c) Are you closely associated socially, professionally or through business interests or activities to any of the persons who currently hold or previously held any of the below PIP/PEP	☐	☐

ENTITY INVOLVEMENT (IF APPLICABLE)

a) Do you own or control more than 10% of a company/ entity? If Yes, provide name(s) and registration _____

List of PIPs/PEPs

	Yes	No
1. President of Botswana	☐	☐
2. Vice President of Botswana	☐	☐
3. Cabinet Minister	☐	☐
4. Speaker or Deputy Speaker of the National Assembly	☐	☐
5. Member of Parliament	☐	☐
6. Councilor	☐	☐
7. Senior government official (Refers to any senior official holding the position of Director level upwards)	☐	☐
8. A judicial officer (Refers to a Judge, Magistrate, President of court C members of customary court)	☐	☐
9. A Kgosi (This refers to a Kgosi and Motshwarelela Bogosi)	☐	☐
10. A Religious leader (Member of governing body or e:ecutive committee (including Priest, Pastor, Bishop, etc) of a religious body registered with the Registrar of Societies -such as a church, Council of churches)	☐	☐
11. A senior e:ecutive of a public body (A director, controller, e:ecutive or senior position holder of a parastatal, any institution partly owned by govt, or body where public funds are used);	☐	☐
12. A senior e:ecutive of a political party (Refers to Party President C Vice, Chairperson C Vice, Secretary, Treasurer, E:ecutive committee)	☐	☐
13. A Senior e:ecutive of an international organizations operating in Botswana. (Refers to a director, controller, e:ecutive or senior position holder of an international organization)	☐	☐
14. A senior e:ecutive of a private entity (A director or any person who is concerned with the management of the private entity)	☐	☐

If you ticked yes to any of the above (a) to (c), please explain relation or association:

DATA PROTECTION CLAUSE

Westsure may collect, use, store, transfer or otherwise process information relating to you and/or your agents and authorized representatives (as applicable) which is provided to Westsure by you under this agreement or otherwise acquired by Westsure for the purposes of administering the terms of this agreement, client onboarding, anti-noney laundering, credit checking, complying with legal and regulatory obligations and marketing financial services and products by Westsure to you ("the Purpose").

You expressly consent to Westsure processing your personal information and to the transfer of such Personal Information to third-party service providers for storage or further processing on behalf of Westsure for the Purpose.

You authorize Westsure to disclose your personal infornation when required to do so to any governmental authority, regulator, law enforcement agency, court or tribunal, to any exchange and/or clearing house as required by any applicable laws and regulations; to any of our affiliates, service providers, insurers, dealers, custodians, agents, bankers, auditors and professional advisers; or in the public interests or where it is necessary for the purpose.

You acknowledge and agree that Westsure may retain such personal information in accordance with Westsure's data retention policies, after termination of this agreement only so long as required and permitted.

Westsure Data Protection Notice is available on request for more information on the purpose and how your personal information will be handled.

If you have any questions about the privacy notice or your personal data, please contact our Data Protection Representative at compliance@westsure.co.bw or 3710619 and or Data Protection Officer aobakwe.kwape@bstiua.co.bw 73886606/ 74434273

DECLARATION

I hereby declare that the details furnished above are true and correct for the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately.

In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I nay be liable for it.

Full Name

Date Place Signature